



Joint union safety checklist for schools and colleges

CHECKLIST FOR MEDICALLY VULNERABLE
PEOPLE AND HIGHER RISK GROUPS MARCH
2021

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Background

This joint unions' advice is for staff working in schools and colleges who are medically vulnerable or otherwise at higher risk from Covid-19, or who live with or care for such people. It applies specifically to England, but its principles apply equally in Wales and elsewhere.

Protecting staff at higher risk – March 2021

The Government has announced that it expects all schools and colleges to reopen fully to all students from 8 March. Levels of infection in the community remain high, however, and the virus continues to pose a significant risk to people across the country, particularly those vulnerable to poor outcomes.

The Government's shielding advice to the clinically extremely vulnerable (CEV) group will continue until 31 March at earliest.

Around 1.7 million more people have been added to the shielding category on the basis of risk from multiple factors, including other medical vulnerability, age, weight, ethnicity and locality. Education staff in this expanded shielding group should continue to work at home. The joint unions believe, however, that this will also be an appropriate safety measure for staff beyond this group. This advice is intended to assist in ensuring safety for those employees and others.

Legal obligations on risk assessment for individuals

Employers must comply with health and safety law, which requires them to undertake risk assessments, put in place proportionate control measures and keep them under review. This includes assessing the individual circumstances for each employee before requiring them to attend the workplace.

These circumstances include the individual's own health conditions, alongside any other factors which place them at higher risk for other reasons. The degree to which individuals are at risk is affected by their underlying health and also, very significantly, by age, ethnicity, sex, and pregnancy.

DfE advice regarding medically vulnerable or higher risk groups

The Department for Education (DfE) continues to argue that schools and colleges are safe workplaces but has acknowledged that they act as 'vectors for transmission' of the coronavirus in the community, hence the need for partial closures from January to March 2021. The joint unions continue to take the view that the DfE advice for schools, and similar advice for other settings, does not offer sufficient safeguards to employees.

We therefore advise all employers, in order to ensure compliance with their legal obligations on staff safety, to follow the joint unions' advice set out in this document.

The joint unions' advice

Joint unions' expectations for individual risk assessments

The joint unions expect all employers to undertake individual risk assessments before directing employees in vulnerable and higher risk groups to attend the workplace, and to subject these to regular further review.

Risk assessments must take account of personal and household circumstances, local prevalence of Covid-19 and, where necessary, medical advice. Employers should seek information proactively from each employee. If you have concerns that a risk assessment does not adequately reflect your risk factors, talk to your employer.

If necessary, seek advice from your GP, particularly if you are concerned that you have not received a shielding letter but think you should have done (see below).

Assessments should consider what protective measures are required for employees who attend the workplace, including measures specific to the individual, in addition to those adopted for employees and students generally. Appropriate measures might include allowing the employee to work in roles where it is possible to maintain strict social distancing, in a location where risk is lower, or where necessary providing personal protective equipment (PPE). Risk assessments should have the support of the employee concerned. Measures implemented should be kept under constant review. The onus is on the employer to demonstrate that a safe work environment is in place for each worker. Staff in the clinically extremely vulnerable group and wider shielding group

[Clinically extremely vulnerable \(CEV\)](#)¹ individuals continue to be most at risk if they contract Covid-19. The Government has now extended the CEV category

¹ <https://www.gov.uk/government/publications/guidance-on-shielding-and-protecting-extremely-vulnerable-persons-from-covid-19/guidance-on-shielding-and-protecting-extremely-vulnerable-persons-from-covid-19#who-is-clinically-extremely-vulnerable>

to cover a further 1.7 million people (in addition to the 2.2 million previously defined as CEV) regarded as being at potential serious risk on the basis of multiple factors, including medical vulnerability, age, weight, ethnicity and locality. [Further information is available at Information for patients about the COVID-19 Clinical Risk Assessment Tool - NHS Digital²](#).

The Government has retained its shielding advice for all those defined as CEV until at least 31 March 2021. CEV staff must therefore not attend work, and should instead work at home, until 31 March 2021, even after vaccination. Employers must comply with this. The [DfE advice³](#) for schools and for other settings sets out that CEV staff should be paid on their usual terms. The Government's current shielding advice will be reviewed as of 31 March 2021. If you are in this category and are concerned about any instruction or possible instruction to attend the workplace, please contact your union rep or branch locally for advice and assistance.

Staff in the clinically vulnerable group including pregnant women

The Government recognises that a wider group of people, including pregnant women, are more [clinically vulnerable](#) (CV) to Covid-19 than the general population. Others are at higher risk for various reasons, with age, sex, ethnicity and factors linked with economic disadvantage being very significant.

The joint unions continue to believe that working at home is an appropriate safety measure for staff in this group to protect them from the risk of Covid-19 if other measures cannot adequately safeguard their health and until initial vaccination has taken effect.

In line with our previous advice, staff who are clinically vulnerable (CV) and staff 60 or over should be permitted to work at home, until they are advised by their GP that their initial vaccination has taken effect, as should pregnant women

² <https://digital.nhs.uk/coronavirus/risk-assessment/clinical-tool/information-for-patients>

³ <https://www.gov.uk/government/publications/actions-for-schools-during-the-coronavirus-outbreak>

in their third trimester who are, with limited exceptions, currently being advised against vaccination. In some cases this may involve some staff taking on alternative duties that can be done from home, or in a small number of cases, being at home on full pay without duties to fulfil. Following vaccination, individual assessments should be undertaken prior to a return to work.

Where an employer insists that a CV employee should attend the workplace, prior to the GP advising that the initial vaccination should have taken effect, and the employee does not believe that the measures identified by the employer are enough to keep them safe, they should inform their local union rep and immediately seek supporting evidence from their doctor and/or a referral to occupational health. Referring to the [NHS risk assessment tool](#)⁴ and to the [NHS COVID-19 clinical risk assessment tool](#) may be helpful.

While this process is underway, we support all CV employees being permitted to work at home until a decision can be made based on all the relevant medical information. Again, if you are in this category and are concerned about any instruction or possible instruction to attend the workplace, please contact your union rep or branch locally for advice and assistance.

Pregnant women and new mothers

The law requires employers to consider, when conducting risk assessments, the risks to employees who are pregnant or new mothers (those who have given birth in the previous six months) and to suspend them on full pay if it is impossible to avoid risks to their health and safety. Pregnant women continue to be included in the Government's list of those who are clinically vulnerable and are not currently part of the vaccination programme, although it is recognised that some pregnant women at high exposure risk or with underlying medical conditions should potentially be vaccinated on balance of risks and after consultation with their GP. Joint advice from HM Government, Royal College of Midwives, Royal College of Obstetricians and Gynaecologists

⁴ <https://digital.nhs.uk/coronavirus/risk-assessment/clinical-tool/information-for-patients>

(RCOG) and the Health and Safety Executive – [Coronavirus \(Covid-19\): advice for pregnant employees](#) – states that employers must carry out a risk assessment for pregnant workers and they should only continue working at the workplace if the risk assessment determines that it is safe to do so.

DfE guidance sets out that employers should consider whether adapting duties and/or facilitating homeworking may be appropriate to mitigate risks. The DfE has referred schools to advice and guidance from the Royal College of Obstetricians and Gynaecologists which notes that, while pregnant women may not be more likely to become infected by Covid-19, those who contract any respiratory illnesses in the third trimester of pregnancy (i.e. from the 28th week) can become seriously unwell and are at greater risk of pre-term birth. The guidance further notes that “for many workers, this may require working flexibly from home in a different capacity” and that “all employers should consider both how to redeploy these staff and how to maximise the potential for homeworking, wherever possible”.

The RCOG has previously recommended, in relation to healthcare workers, that women in the third trimester should be allowed to work at home. The joint unions believe that education employers should consider this in their individual risk assessments for pregnant women and new mothers. All women in their third trimester of pregnancy should be permitted to work at home, even those who may have been offered vaccination because of underlying medical conditions or high exposure risk. We are calling on employers to design rotas to ensure that pregnant women earlier in their pregnancy are also supported to work at home. No pregnant woman should be in the workplace unless an agreed risk assessment advises that it is safe.

We advise pregnant women who are seeking to work at home, or are suspended on maternity grounds, to write to their employer to confirm they intend their maternity leave to start at the Expected Week of Childbirth (EWC).

Staff in other higher risk groups – age, sex, ethnicity and disability

The joint unions expect employers to conduct individual risk assessments for employees who are in known higher-risk groups. In some circumstances, working at home will again be an appropriate protective measure.

Age, sex, ethnicity and disability should form part of every employer's risk assessment for individual staff members and the process should recognise the anxiety that particular staff may feel about their circumstances. Employers must take proactive, sensitive and supportive steps to build up a full picture of the ethnicity and health status of their workforce, as part of the planning to make work safe.

Age is the biggest single factor in increasing risk to individuals from Covid-19, other than specific health conditions. Men are at greater risk of adverse outcomes than women should they contract the virus. Both these characteristics should be considered in risk assessments. Staff aged 60 or over in particular should be permitted to work at home until they are advised by their GP that their initial vaccination should have taken effect. Where possible, measures should be taken to protect others in phase 1 of the vaccination programme aged 50 and over who may be awaiting their first vaccination. Following vaccination individual assessments should be undertaken prior to return.

Black⁵ people are known to have suffered a disproportionate impact during the pandemic. This issue remains a considerable cause of concern for Black employees, who may feel less able to raise their concerns within workplaces because of stereotypes and prejudice.

Risk to disabled employees also requires careful consideration, even if their particular health condition does not itself place them in the medically

⁵Black' is used in a political context to encompass all members who self-identify as Black, Asian and any other minority ethnic groups who do not identify themselves as white.

vulnerable category. ONS statistics show that disabled people made up 60 per cent of all UK Covid deaths. Disabled staff often experience stereotypes at work and may be worried about the impact of the current crisis on their health and job security. It is important to remember that employers are under a legal obligation to make reasonable adjustments which will, in some cases, for disabled staff, include working at home to ensure they can continue to work safely.

Staff with family members who are medically vulnerable or at higher risk

Individual Covid-19 assessments should also consider an employee's domestic circumstances. The joint unions are asking employers to adopt arrangements which can support staff whose household members are vulnerable to work at home until the risk of infection is significantly reduced.

The DfE guidance states that staff living with CEV household members can attend the workplace "where it is not possible to work from home". The joint unions advise that the onus is therefore on the employer to consider arrangements which do make it possible for these staff to be supported to work at home, until the household member is advised by their GP that their initial vaccine should have taken effect.

Impact of the vaccination programme

[The Government's shielding advice](#)⁶ notes that the impact of vaccination continues to be assessed across all groups and that shielding should therefore continue, whether an individual has had one or both doses of the vaccine. Although this position could change in the future, unless/until this happens, it would be wholly inappropriate for any employer to seek to use vaccination

⁶ <https://www.gov.uk/government/publications/guidance-on-shielding-and-protecting-extremely-vulnerable-persons-from-covid-19/guidance-on-shielding-and-protecting-extremely-vulnerable-persons-from-covid-19#who-is-clinically-extremely-vulnerable>

status as a way of compelling a vulnerable employee to attend the workplace. As described above the joint unions believe that staff aged 60 or over should be permitted to work at home until they are advised by their GP that their initial vaccination should have taken effect.

Where possible, measures should be taken to protect others in phase 1 of the vaccination programme aged 50 and over who may be awaiting their first vaccination.

Advice to members on contacting their employer

Employers should already have conducted a risk assessment which considered personal circumstances. Use our template letter (see appendix below) to write to your employer if you want to ensure they are aware of your health and other personal circumstances, in particular any recent changes of which they may not be aware. Consider contacting your GP beforehand to discuss risk factors and possible protective measures, in order to help you to explain matters to your employer. If you are suffering from stress which is impacting upon your mental health, this should also be raised with your GP.

If you are unhappy with your employer's response, you should contact your GP to discuss it and seek further evidence to support you in trying to reach agreement. Contact your local union rep for further advice about what is reasonable to say to your employer, or if you are being asked to work at school when you do not feel it is safe to do so.

Contacting your union

Please ensure that you tell your local union rep if you have sent a letter to the head – they will need this information to keep up to date on the position of staff who require protective measures or feel unable to work at school/college,

and to represent members. If there is no rep in your school, please consider volunteering. Details on how to contact your union locally can be found [here](#)⁷

Further advice

GMB members can read this advice about [seeking support with mental health and wellbeing](#)⁸.

The GMB has published a BAME Covid-19 risk indicator [here](#)⁹.

GMB's Historical guidance Documents from previous lockdowns can be found [here](#)¹⁰.

⁷ <https://www.gmb.org.uk/gmb-regions>

⁸ <https://www.gmb.org.uk/mental-health-support>

⁹ <https://www.gmb.org.uk/support/coronavirus/make-work-safe/bame-risk-indicator>

¹⁰ <https://www.gmb.org.uk/network/public-services-section/schools-academies-education-noticeboard/schools-staff-noticeboard>